

# Quadimodularity of the $k$ th Residual Cranks

Special Session on Quadratic Forms, Theta Functions, and  
Modularity

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# Agenda

- Preliminaries
- Why Partitions?
- A Combinatorial Touch
- Now About That Quasimodularity

## Definition

Let  $q = \exp(2\pi iz)$ . For  $k \geq 1$ , the *Eisenstein series*  $E_{2k}(z)$  is

$$E_{2k}(z) = 1 + \frac{(2\pi)^{2k}}{(-1)^k (2k-1)! \zeta(2k)} \Phi_{2k-1}(q),$$

where

$$\Phi_\ell(q) = \sum_{n=1}^{\infty} \left\{ \sum_{d|n} d^\ell \right\} q^n$$

is a divisor sum generating function.

Recall, quasimodular forms are generated as products of the  $E_{2k}$ .

## Definition

Let  $\overline{\mathcal{W}}_k(\Gamma)$  be the space of all quasimodular forms of weight at most  $2k$ , which transform under  $\Gamma$ , a subgroup of  $\mathrm{SL}_2(\mathbb{Z})$  and which have no constant term.

We are interested in spaces of the form

$$f(z)\overline{\mathcal{W}}_k(\Gamma) := \{f(z)g(z) \mid g(z) \in \overline{\mathcal{W}}_k(\Gamma)\}.$$

## Definition

A *partition*  $\pi$  is a non-increasing sequence of positive integers. If the sum of these integers is  $n$ , then we write  $\pi \vdash n$ , or  $|\pi| = n$ . Let  $p(n)$  denote the number of partitions of  $n$ .

The partitions  $\pi \vdash 4$  are

$$\begin{array}{ll} (4) & (3, 1) \\ (2, 2) & (2, 1, 1) \\ (1, 1, 1, 1). & \end{array}$$

Thus,  $p(4) = 5$ .

Take the generating function for partitions,

$$P(q) = \sum_{n=0}^{\infty} p(n)q^n.$$

Euler proved that

$$P(q) = \prod_{i=1}^{\infty} \frac{1}{1 - q^i}.$$

We will abbreviate these kinds of products using the  $q$ -Pochhammer symbol

$$(a; q)_n = \prod_{i=0}^{n-1} (1 - aq^i).$$

Thus  $P(q) = 1/(q; q)_{\infty}$ .

Why then are partitions related to quasimodularity? Let  $\delta_q = q \frac{\partial}{\partial q}$ .

Then logarithmic differentiation shows that  $\delta_q P$  may be written in terms of the divisor sum functions  $\Phi_\ell$ , since

$$\delta_q \frac{1}{1 - q^i} = \frac{iq^i}{1 - q^i} = \sum_{n=1}^{\infty} iq^{in}.$$

# Crank Function

## Definition

If a partition  $\pi$  does not contain any 1s, then the *crank* of  $\pi$  is defined to be the largest part of  $\pi$ .

Otherwise, let  $w(\pi)$  denote the number of 1's occurring in  $\pi$ , and let  $\mu(\pi)$  denote the number of parts of  $\pi$  which are larger than  $w(\pi)$ . In this case, the crank of  $\pi$  is defined to be

$$c(\pi) = \mu(\pi) - w(\pi).$$

**Payoff:** The crank is an integer-valued function which illuminates the structure of partitions.



Take  $M_j(n)$  to be the  $j$ th moment of the crank,

$$M_j(n) = \sum_{\pi \vdash n} c(\pi)^j.$$

**Theorem (Dyson, 1989)**

For  $n \geq 0$ ,

$$M_2(n) = 2np(n).$$

That is, the  $M_2(n)$  are the coefficients of  $2\delta_q P$ . The combinatorial interpretation is that  $M_2(n)$  counts the total size of parts across all partitions of  $n$ .

### Theorem (Jennings-Shaffer, 2015)

*The following crank functions are in  $(-q; q^2)_\infty P(q^2) \mathcal{W}_k(\Gamma_0(4))$ :*

$$\delta_q^m C1_{2j}, \text{ for } m \geq 0, 1 \leq j \leq k, j + m \leq k$$

$$\delta_q^m C2_{2j}, \text{ for } m \geq 0, 1 \leq j \leq k, j + m \leq k$$

$$\delta_q^m C4_{2j}, \text{ for } m \geq 0, 1 \leq j \leq k, j + m \leq k$$

We aim to bring these results to a more general setting.

# Overpartitions

## Definition

A *overpartition* is a non-increasing sequence of positive integers, where the first occurrence of each part may be overlined.

The overpartitions  $\pi \vdash 3$  are

$$\begin{array}{cccc} (3) & (\bar{3}) & (1, 1, 1) & (\bar{1}, 1, 1) \\ (2, 1) & (2, \bar{1}) & (\bar{2}, 1) & (\bar{2}, \bar{1}). \end{array}$$

The generating function for overpartitions is

$$\bar{P}(q) = \sum_{n=0}^{\infty} \bar{p}(n)q^n = \frac{(-q; q)_{\infty}}{(q; q)_{\infty}} = (-q; q)_{\infty} P(q).$$

# Residual Cranks

## Definition

For  $k \geq 1$ , the  $k$ th residual partition of  $\pi$  is a partition  $\pi'$  consisting of  $1/k$ th of each of the non-overlined parts of  $\pi$  that are divisible by  $k$ . The  $k$ th residual crank of  $\pi$  is then defined to be  $c_k(\pi) = c(\pi')$ .

For example,

$$c_1((4, \overline{3}, 2)) = c((4, 2)) = 4$$

$$c_2((4, \overline{3}, 2)) = c((2, 1)) = 0$$

$$c_3((4, \overline{3}, 2)) = c(\emptyset) = 0$$

$$c_4((4, \overline{3}, 2)) = c((1)) = -1$$

$$c_5((4, \overline{3}, 2)) = c(\emptyset) = 0.$$

## Definition

Let  $nov_k(n)$  denote the sum of all non-overlined parts which vanish modulo  $k$ , taken across all overpartitions  $\pi \vdash n$ .

We see that  $nov_2(3) = 4$

$$\begin{array}{cccc} (3) & (\bar{3}) & (1, 1, 1) & (\bar{1}, 1, 1) \\ (2, 1) & (2, \bar{1}) & (\bar{2}, 1) & (\bar{2}, \bar{1}). \end{array}$$

## Theorem (M., Simonič, 2021)

Take  $\overline{M[k]}_\ell(n)$  to be the  $\ell$ th moment of the  $k$ th residual cranks.  
For  $n \geq 0$  and  $k \geq 1$ ,

$$k \cdot \overline{M[k]}_2(n) = 2 \cdot nov_k(n).$$

### Sketch.

The two variable generating function for the  $k$ th residual crank is given by

$$\begin{aligned}\overline{C[k]} &:= \sum_{n=0}^{\infty} \sum_{m=-\infty}^{\infty} M(m, n) z^m q^n \\ &= \frac{(-q; q)_{\infty}}{(q; q)_{\infty}} \frac{(q^k, q^k; q^k)_{\infty}}{(zq^k, q^k/z; q^k)_{\infty}}.\end{aligned}$$

We can calculate the generating function for  $\overline{M[k]}_2$  by using  $\delta_z = z \frac{\partial}{\partial z}$ , since

$$\begin{aligned} \delta_z^2 \sum_{n=0}^{\infty} \sum_{m=-\infty}^{\infty} M(m, n) z^m q^n \\ = \sum_{n=0}^{\infty} \sum_{m=-\infty}^{\infty} m^2 M(m, n) z^m q^n. \end{aligned}$$

Substituting  $z = 1$  completes the calculation.

For our series,

$$\begin{aligned} \delta_z^2 \frac{(-q; q)_\infty}{(q; q)_\infty} \frac{(q^k, q^k; q^k)_\infty}{(zq^k, q^k/z; q^k)_\infty} \\ = (-q; q)_\infty \frac{(q^k; q^k)_\infty}{(q; q)_\infty} \delta_z^2 \frac{(q^k; q^k)_\infty}{(zq^k, q^k/z; q^k)_\infty} \\ = (-q; q)_\infty \frac{(q^k; q^k)_\infty}{(q; q)_\infty} \delta_z^2 C(z; q^k), \end{aligned}$$

where  $C(z; q)$  is the two variable generating function for the partition crank.



The problem reduces to Andrews' result for ordinary partitions.

$$\begin{aligned}
 \sum_{n=0}^{\infty} k \cdot \overline{M[k]}_2(n) q^n &= (-q; q)_{\infty} \frac{(q^k; q^k)_{\infty}}{(q; q)_{\infty}} \sum_{n=0}^{\infty} k \cdot M_2(n) q^{kn} \\
 &= (-q; q)_{\infty} \frac{(q^k; q^k)_{\infty}}{(q; q)_{\infty}} \sum_{n=0}^{\infty} 2kn \cdot p(n) q^{kn} \\
 &= (-q; q)_{\infty} \frac{(q^k; q^k)_{\infty}}{(q; q)_{\infty}} \sum_{\pi'}^{\infty} 2k |\pi'| q^{k|\pi'|} \\
 &= \sum_{n=0}^{\infty} 2 \cdot \text{nov}_k(n) q^n. \quad \square
 \end{aligned}$$

Theorem (Bringman, Lovejoy, Osburn; 2009)

The following crank functions are in  $\overline{PW}_k(\Gamma_0(2))$ :

$$\delta_q^m \overline{C[1]}_{2j}, \text{ for } m \geq 0, 1 \leq j \leq k, j + m \leq k$$

$$\delta_q^m \overline{C[2]}_{2j}, \text{ for } m \geq 0, 1 \leq j \leq k, j + m \leq k$$

Let  $\overline{C[k]}_j(q)$  denote the  $j$ th moment generating function for the  $k$ th residual crank.

**Theorem (M., Simonič, 2021)**

*For  $j, k \geq 1$  and  $m \geq 0$ , the function*

$$\delta_q^m \overline{C[k]}_{2j}(q)$$

*is in the space  $\frac{(-q; q)_\infty}{(q; q)_\infty} \mathcal{W}_{j+m}(\Gamma_0(\text{lcm}(2, k)))$ .*

That is,  $\delta_q^m \overline{C[k]}_{2j}(q)$  is  $\overline{P}(q)$  times a quasimodular form.

Thank you!